



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert A. MacDonald et al.

Attorney Docket: KEY1025US

Serial No.: 09/904,038

Group Art Unit:

Filed: July 12, 2001

For: MULTI-CHANNEL RETAINING WALL BLOCK AND SYSTEM

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**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith are the following:

1. Information Disclosure Statement (2 pages);
2. Form 1449 listing documents (1 page, in duplicate); and
3. Copies of the cited references (22 documents).

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**Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a))**

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: 9/20/01

Signature: *Gina Rust*

Name: Gina Rust

Transmittal Letter  
Applicant: Robert A. MacDonald et al.  
Serial Number: 09/904,038

Attorney Docket: KEY1025US

Please charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-2312. A duplicate copy of this Transmittal Letter is enclosed for that purpose.

Respectfully submitted,

Dated: 9/20/01

By Terry L. Wiles  
Terry L. Wiles, Esq. (29,989)  
Miriam G. Simmons (34,727)  
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Representatives of Applicants



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P.H.  
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**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert A. MacDonald et al. Attorney Docket: KEY1025US

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to Sections 1.56, 1.97 and 1.98 of Title 37 of the Code of Federal Regulations, the following information is set forth in compliance with Applicant's duty of disclosure, as well as the duty of others substantively involved in the preparation of the above-titled application.

The Applicant and others substantively involved in the preparation of the application are aware of the documents, cited on the enclosed PTO-1449 form and have enclosed copies of the listed documents.

Applicant reserves the right to show, pursuant to 37 C.F.R. § 1.131 or otherwise, that any one or more of the documents cited on the PTO-1449 form is not prior art to the present invention.

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**Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a))**

I hereby certify that the document is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: 9/20/01

Signature: Gina Rust

Name: Gina Rust

The present statement reflects the direct knowledge of the undersigned and information disclosed to the undersigned by the Applicant and others substantively involved in the preparation of the present application pursuant to their duty under 37 C.F.R. § 1.56.

Pursuant to the Manual of Patent Examining Procedure, Chapter 609, Applicant requests that the Examiner consider each of the listed documents and initial and return to the undersigned a copy of the enclosed PTO-1449 form (submitted in duplicate).

It is believed that no additional fees are due in connection with this submission. However, if this is incorrect, please charge any additional fees to Deposit Account No. 16-2312.

Respectfully submitted,

Dated: 9/20/01

By Terry L. Wiles  
Terry L. Wiles, Esq. (29,989)  
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